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ATTY DOCKET NO. 099908.00020

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: William C. Stumphauzer, II

U.S. Serial No.: 09/900,935

Filed: July 9, 2001

For: **SYSTEM AND METHOD FOR CREATING AND RECEIVING
PERSONALIZED BROADCASTS**

Examiner: To be assigned Group Art Unit: To be assigned

Assistant Commissioner for Patents
Washington, D.C. 20231

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited on 8/27/01, with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature: 

John W. Kung, Reg. No.: 44,199

Kramer Levin Naftalis & Frankel LLP

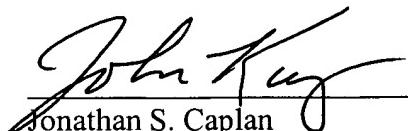
INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants respectfully submit this Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.97 and 1.98 in order to comply with the duty of disclosure under 37 C.F.R. § 1.56. These references are listed on the attached modified PTO Form No. 1449. It is respectfully requested that the information be expressly considered during the prosecution of this application and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

A copy of each of the listed references is enclosed for the convenience of the Examiner.

Respectfully submitted,


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FORM MPTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE

(REV. 7-80)

LIST OF REFERENCES CITED BY APPLICANT

(Use Several Sheets if Necessary)

DOCKET NO.: 099908.00020 SERIAL NO. 09/900,935
APPLICANT: William C. Stumphauzer ART UNIT: To be assigned
FILING DATE: July 9, 2001

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

***EXAMINER INITIALS** **DOCUMENT NUMBER** **DATE** **COUNTRY** **TRANSLATION CLASS/SUB** **YES/NO**

OTHER REFERENCES
(INCLUDING AUTHOR, TITLE DATE, PERTINENT PAGES, ETC.)

EXAMINER: _____ **DATE CONSIDERED:** _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.